

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Reyes, Juan D

, Department of Transportation

Date of Appointment: 09/25/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Reyes, Juan D [electronically signed on 01/02/2018 by Reyes, Juan D in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Carlson, Terence W, Certifying Official [electronically signed on 03/06/2018 by Carlson, Terence W in Integrity.gov]

Other review conducted by

/s/ Herr, Ellen A, Ethics Official [electronically signed on 01/04/2018 by Herr, Ellen A in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 03/06/2018

Data Revised 01/05/2018

Data Revised 01/04/2018

Data Revised 01/03/2018

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Seyfarth Shaw	New York, New York	Law Firm	Partner	1/2013	9/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Juan Reyes UBS IRA		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
1.1	iShares Russell 1000 Growth Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	iShares Core MSCI EAFE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Vanguard Information Technology ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Delaware Value Fund Institutional Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Dreyfus Opportunistic Midcap	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	EIC Value Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Oakmark International Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Oppenheimer Developing Markets Class Y	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	Principal Midcap Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	Riverpark/Wedgewood Institutional Funds	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	Toroise MLP & Pipeline Fund Class Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.12	Eaton Vance Global Macro Absolute Return Fund I	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	Guggenheim Macro Opportunities Fund Class Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	JP Morgan Global Bond Opportunities Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.15	PIMCO Income P	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	Prudential Total Return Bond Fund Class Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.17	Putnam Short Duration Income Fund Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.18	AQR Long-Short Equity Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.19	iShares Gold Trust	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.20	First Eagle Global Funds Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Seyfarth Shaw Cash balance plan		\$50,001 - \$100,000	Interest	\$201 - \$1,000
3	Schwab IRA		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	Schwab S&P 500 Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	TIAA-CREF Large-Cap Growth Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	TIAA-CREF Large-Cap Value Idx Inst	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Schwab Small Cap Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Vanguard Mid-Cap Index Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Vanguard Mid-Cap Growth Index Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Vanguard Mid-Cap Value Index Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Vanguard Small-Cap Growth Index Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	Vanguard Small Cap Value Index I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	Northern Emerging Markets Equity Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	Schwab Fdmtl Intl Lg Co Idx	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	Schwab Fdmtl Intl Sm Co Idx	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.13	Schwab International Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.14	Vanguard REIT Index Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.15	Vanguard Intmdt-Term Trs Idx Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.16	Vanguard Total Bond Market Index I	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	Seyfarth Shaw Salary				\$1,103,333

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Seyfarth Shaw	New York, New York	The law firm returned my capital contribution of \$180,000 on October 31, 2017. The law firm will pay my final distribution, which was is a final partnership distribution of \$139,393 in April 2018.	9/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Plowright Real Estate	New York, New York	Zoning and land use advice
2	Crossfit Fitness	New York, New York	Zoning and land use advice
3	Feldman Realty Group	New York, New York	Zoning and land use advice
4	Central united Talmudic Academy	New York, New York	Zoning and land use advice
5	East Garden LLC	New York, New York	Zoning and land use advice

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	Gems America	New York, New York	Zoning and land use advice
7	United Christian Evangelical Church	New York, New York	Zoning and land use advice
8	98 Greene Street Corp, Chaim Billet	New York, New York	Zoning and land use advice
9	Congregation Har Ha Lebonon	New York, New York	Issues related to water bill from New York City
10	Asian Americans for Equality	New York, New York	Zoning and land use advice
11	858 Bay Street, Dean Holterman	New York, New York	New York City Department of Buildings issue
12	Broadway Mercer Associates	New York, New York	New York City Department of buildings issues
13	L3 Capital	Chicago, Illinois	Zoning and land use advice
14	Storage Post Self Storage	Atlanta, Georgia	Zoning and land use advice
15	Wilibee Corp	New York, New York	New York city Department of buildings issues
16	A. Robert Pietrak, 301 W. 57th Street	New York, New York	New York City Department of Buildings and Department of Finance issues
17	HAP Real Estate	New York, New York	Zoning and Land Use Advice
18	Seyfarth Shaw LLP	New York, New York	Legal Services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	St. Francis Hospital (Hospital)	N/A			
2	iShares Russell 1000 Growth Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	iShares Core MSCI EAFE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Vanguard Information Technology ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Delaware Value Fund Institutional Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Dreyfus Opportunistic Midcap Value I	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	EIC Value Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Oakmark International Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Oppenheimer Developing Markets Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	Principal Midcap Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	Riverpark/Wedgewood Institutional Funds	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Tortoise MLP & Pipeline Fund Class Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	Putnam Short Duration Income Fund Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	AQR Long-Short Equity Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	iShares Gold Trust	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Eaton Vance Global Macro Absolute Return Fund I	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Guggenheim Macro Opportunities Fund Class Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	JP Morgan Global Bond Opportunities Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	PIMCO Income P	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	Prudential Total Return Bond Fund Class Z	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank Account		\$50,001 - \$100,000		None (or less than \$201)
2	UBS Trust Account		\$500,001 - \$1,000,000	Capital Gains	\$5,001 - \$15,000
2.1	iShares Russell 1000 Growth Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	iShares Core MSCI EAFE ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	Vanguard Information Technology ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Delaware Value Fund Institutional Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	Dreyfus Opportunistic Midcap Value I	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	EIC Value Fund Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.7	Oakmark International Fund Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	Oppenheimer Developing Markets Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Principal Midcap Fund Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	Riverpark/Wedgewood Institutional Funds	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.11	Tortoise MLP & Pipeline Fund Class Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	Eaton Vance Global Macro Absolute Return Fund I	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.13	Guggenheim Macro Opportunities Fund Class Institutional	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.14	JP Morgan Global Bond Opportunities Fund Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.15	Lord Abbett Intermediate Tax Free Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.16	PIMCO Income P	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.17	Putnam Short Duration Income Fund Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.18	AQR Long-Short Equity Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.19	iShares Gold Trust ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.20	First Eagle Global Funds Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	JP Morgan New York 529 College Plan - Agressive C		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
4	JP Morgan New York 529 College Plan #2- Agressive C		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
5	JP Morgan New York 529 College Plan #3 - Agressive C		\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
